

Turing jumps again

Joost J. Joosten

Universitat de Barcelona

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- ▶ Rich logic and wide range of applicability

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$$[\xi]_T \varphi \leftrightarrow \Box_T \varphi \vee \exists \psi \exists \zeta < \xi \left(\forall n [\zeta]_T \psi(n) \wedge \Box_T (\forall x \psi(x) \rightarrow \varphi) \right).$$

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- ▶ **Lemma:** $[\alpha]_T^d\varphi \Leftrightarrow [\alpha + 1]_T\varphi.$

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- ▶ Omega-rule interpretation allows generalizations
- ▶ However, does not tie up with the Turing jump hierarchy and proves too much consistency
- ▶ Friedman, Godfarb and Harrington come to the rescue!

► Definition (Witness-comparison relation)

For $\phi := \exists x \phi_0(x)$ and $\psi := \exists x \psi_0(x)$ we define

$$\phi \leq \psi := \exists x (\phi_0(x) \wedge \forall y < x \neg \psi_0(y)) \quad \text{and,}$$

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► Theorem (Rosser's Theorem)

Let T be a consistent c.e. theory extending EA. There is some $\rho \in \Sigma_1^0$ which is undecidable in T . That is,

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► **Proof** Consider $\rho \leftrightarrow \neg(\Box \rho < \Box \neg \rho)$.

Lemma

Let A and B be some formulas of logical complexity Σ_{n+1}^0 for $n < \omega$.

1. $EA \vdash A \wedge \neg B \rightarrow (A < B)$;
2. $EA \vdash (A < B) \rightarrow (A \leq B)$;
3. $EA \vdash (A \leq B) \rightarrow A$;
4. $EA \vdash (A \leq B) \rightarrow \neg(B < A)$ and consequently;
5. $EA \vdash (A < B) \rightarrow \neg(B \leq A)$;
6. $EA \vdash [(B \leq B) \vee (A \leq A)] \rightarrow [(A \leq B) \vee (B < A)]$;
7. $EA \vdash A \wedge \neg(A \leq B) \rightarrow B$.

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Let T be any computably enumerable theory extending EA. For each $\sigma \in \Sigma_1^0$ we have that there is some $\rho \in \Sigma_1^0$ so that

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- We wish to stretch this further

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- ▶ **proposition**
Let T be a computable theory extending EA and let ϕ be a Σ_{n+1}^0 formula. We have that

$$\text{EA} \vdash \phi \rightarrow [n]_T^{\text{True}} \phi.$$

Theorem

Let T be any computably enumerable theory extending EA and let $n < \omega$. For each $\sigma \in \Sigma_{n+1}^0$ we have that there is some $\rho_n \in \Sigma_{n+1}^0$ so that

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- ▶ $\langle n+1 \rangle_T^{\text{True}} \top$ implies $\text{I}\Sigma_n$
- ▶ In turn, $\text{I}\Sigma_n$ implies Σ_1 -collection
- ▶ So that $\sigma \leq [n]_T^{\text{True}} \rho_n$ is a Σ_{n+1}^0 sentence

Corollary

Let T be a c.e. theory extending EA and let $n \in \mathbb{N}$. For each formulas φ, ψ there is some σ so that

$$T \vdash ([n]_T^{\text{True}} \varphi \vee [n]_T^{\text{True}} \psi) \leftrightarrow [n]_T^{\text{True}} \sigma.$$

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Let T be any c.e. theory and let $A \subseteq \mathbb{N}$. The following are equivalent

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5. *A is definable on the standard model by a formula of the form $[n]_T^{\text{True}} \rho(\dot{x})$ where $\rho(x) \in \Sigma_{n+1}^0$;*

- ▶ $[n+1]_T^{\text{Omega}} \varphi := \exists \psi \left(\forall x [n]_T^{\text{Omega}} \psi(\dot{x}) \wedge \Box_T (\forall x \psi(x) \rightarrow \varphi) \right)$
- ▶ $[n]_T^{\text{Omega}}$ is a Σ_{2n+1}^0 -formula.

▶ Lemma

Let T be a computable theory extending EA and let ϕ be a Σ_{2n+1}^0 formula. We have that

$$\text{EA} \vdash \phi \rightarrow [n]_T^{\text{Omega}} \phi.$$

Proof.

By an external induction on n where each inductive step requires the application of an additional omega-rule. □

Corollary

Let T be any computably enumerable theory extending EA and let $n < \omega$. For each $\sigma \in \Sigma_{2n+1}^0$ we have that there is some $\rho_n \in \Sigma_{2n+1}^0$ so that

$$\text{EA} \vdash \langle n \rangle_T^{\text{Omega}} \top \rightarrow \left(\sigma \leftrightarrow [n]_T^{\text{Omega}} \rho_n \right).$$

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- Runs out of phase!
- We wish to use the best of both worlds



$$[0]_T^{\square} \phi \quad := \quad \square_T \phi, \quad \text{and}$$

$$[n+1]_T^{\square} \phi \quad := \quad \square_T \phi \vee \exists \psi \bigvee_{0 \leq m \leq n} \left(\langle m \rangle_T^{\square} \psi \wedge \square(\langle m \rangle_T^{\square} \psi \rightarrow \phi) \right).$$

proposition

Let T be a sound c.e. theory extending EA. We have for all $n \in \mathbb{N}$ that

1. $EA \vdash \forall \varphi ([n]_T^\square \varphi \rightarrow [n]_T^{\text{True}} \varphi)$;
2. $EA \vdash \langle n \rangle_T^{\text{True}} \top \rightarrow \forall \varphi ([n+1]_T^\square \varphi \leftrightarrow [n+1]_T^{\text{True}} \varphi)$;
3. $EA \vdash [n]_T^{\text{True}} \left(\forall \varphi ([n]_T^\square \varphi \leftrightarrow [n]_T^{\text{True}} \varphi) \right)$;
4. $\mathbb{N} \models \forall \varphi ([n]_T^\square \varphi \leftrightarrow [n]_T^{\text{True}} \varphi)$.

Theorem

Let T be a c.e. theory. We have for all $A \subseteq \mathbb{N}$ that the following are equivalent

- 1. A is c.e. in $\emptyset^{(n)}$;*
- 2. A is 1-1 reducible to $\emptyset^{(n+1)}$;*
- 3. A is definable on the standard model by a formula of the form $[n]_T^\square \rho(\dot{x})$;*

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$$[\zeta]\phi \quad :\Leftrightarrow \quad \Box\phi \vee \exists\psi \exists\xi < \zeta (\langle \xi \rangle\psi \wedge \Box(\langle \xi \rangle\psi \rightarrow \phi)). \quad (1)$$

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- ▶ **Observation:**

$$\mathbb{N} \models \langle\xi\rangle\top$$

for any $\xi < \Lambda$

Lemma

Let $\xi < \zeta$ be ordinals and T a c.e. theory extending ECA_0 . We have

1. $ECA_0 \vdash \forall \varphi (\langle \xi \rangle_T^\square \varphi \rightarrow [\zeta]_T^\square \langle \xi \rangle_T^\square \varphi)$;
2. $ECA_0 \vdash \forall \varphi ([\xi]_T^\square \varphi \rightarrow [\zeta]_T^\square \varphi)$.

Proof.

Almost directly from the defining recursion □

Here, ECA_0 is the second order pendant of *Elementary Arithmetic*

Löb's axioms will follow from distribution and the 4 axiom:

Lemma

Extend GL with a new operator \blacksquare and the following axioms for all formulas ϕ , and ψ :

1. $\vdash \Box\phi \rightarrow \blacksquare\phi$,
2. $\vdash \blacksquare(\phi \rightarrow \psi) \rightarrow (\blacksquare\phi \rightarrow \blacksquare\psi)$ and,
3. $\vdash \blacksquare\phi \rightarrow \blacksquare\blacksquare\phi$,

and call the resulting system GL^{\blacksquare} .

Then for all ϕ ,

$$GL^{\blacksquare} \vdash \blacksquare(\blacksquare\phi \rightarrow \phi) \rightarrow \blacksquare\phi.$$

Theorem

Let T be some c.e. theory extending ECA_0 .

- ▶ $ECA_0 + ??$ proves that the $[\alpha]_T^\square$ predicate is closed under both conjunctions and disjunctions;
- ▶ $ECA_0 + ??$ proves that all the rules and axioms of GLP are sound wr.t. T by interpreting $[\alpha]$ as $[\alpha]_T^\square$.

Proof.

Using the cross-axioms, simultaneously prove:

1. Closure under conjunctions:

$$\forall \varphi \forall \psi \left([\alpha]_T^{\square} \varphi \wedge [\alpha]_T^{\square} \psi \leftrightarrow [\alpha]_T^{\square} (\varphi \wedge \psi) \right);$$

2. Distributivity: $\forall \varphi, \psi \left([\alpha]_T^{\square} (\varphi \rightarrow \psi) \rightarrow ([\alpha]_T^{\square} \varphi \rightarrow [\alpha]_T^{\square} \psi) \right);$

3. Closure under disjunctions:

$$\forall \varphi \forall \psi \exists \chi \left([\alpha]_T^{\square} \varphi \vee [\alpha]_T^{\square} \psi \leftrightarrow [\alpha]_T^{\square} \chi \right);$$

4. Transitivity: $\forall \varphi \left([\alpha]_T^{\square} \varphi \rightarrow [\alpha]_T^{\square} [\alpha]_T^{\square} \varphi \right).$



Theorem

The logic GLP_{Λ} is sound for strong enough theories T under the interpretation $\Box \mapsto [\lambda]_T^{\Box, \Lambda}$.

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- ▶ No longer runs out of phase

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- ▶ Iterated Provability Classes:

$$\langle \xi, \varphi \rangle \in X \quad \leftrightarrow \quad \left(\Box_T \varphi \vee \exists \psi \exists \zeta < \xi \left(\forall n \langle \zeta, \psi(\bar{n}) \rangle \in X \wedge \Box_T (\forall x \psi(x) \rightarrow \varphi) \right) \right)$$

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- ▶ Iterated Turing Jump Classes:

$$\langle \xi, \varphi \rangle \in X \leftrightarrow \left(\Box_T \varphi \vee \exists \psi \exists \zeta < \xi \left(\langle \zeta, \neg \psi \rangle \notin X \wedge \Box_{T|X+??} (\langle \zeta, \neg \psi \rangle \notin X \rightarrow \varphi) \right) \right)$$

Given a formula $\pi(c, \lambda, \phi)$, we introduce the notation

$[c : \lambda]_{\pi}\phi = \pi(c, \lambda, \phi)$, as well as $[\lambda]_{\pi}\phi = \exists c[c : \xi]_{\pi}\phi$.

A Λ -uniform proof predicate over T is a formula $\pi(c, \lambda, \phi)$ (with all free variables shown) satisfying

1. $T \vdash \text{I}\Sigma_1^0(\pi)$;
2. $T \vdash \forall \lambda \forall \phi (\Box_T \phi \rightarrow [\lambda]_{\pi}\phi)$;
3. $T \vdash \forall \lambda \forall \phi \forall \psi ([\lambda]_{\pi}(\psi \rightarrow \phi) \wedge [\lambda]_{\pi}\psi \rightarrow [\lambda]_{\pi}\phi)$;
4. $T \vdash \forall c \forall \xi \leq_{\Lambda} \lambda \forall \phi ([c : \xi]_{\pi}\phi \rightarrow [c : \lambda]_{\pi}\phi)$;
5. $T \vdash \forall c \forall \lambda \forall \phi ([c : \lambda]_{\pi}\phi \rightarrow [\lambda]_{\pi}[c : \dot{\lambda}]_{\pi}\dot{\phi})$;
6. $T \vdash \forall c \forall \lambda \forall \phi (\langle c : \lambda \rangle_{\pi}\phi \rightarrow [\lambda]_{\pi}\langle \dot{c} : \dot{\lambda} \rangle_{\pi}\dot{\phi})$;
7. $T \vdash \forall \lambda \forall \xi <_{\Lambda} \lambda \forall \phi (\langle \xi \rangle_{\pi}\phi \rightarrow [\lambda]_{\pi}\langle \dot{\xi} \rangle_{\pi}\dot{\phi})$.

We have arithmetical completeness for such predicates

Complexity classes anew:

Definition

Let \mathcal{T} be a c.e. theory. We define

- $\Delta_0^\square := \Sigma_0^\square := \Pi_0^\square := \Delta_0^0$;
- $\Sigma_{\alpha+1}^\square = \Sigma_\alpha^\square \cup \Pi_\alpha^\square \cup \{[\alpha]_{\mathcal{T}}^\square \varphi(\dot{x}) \mid \varphi(x) \in \text{Form}\}$ for $\alpha > 0$;
- $\Pi_{\alpha+1}^\square = \Sigma_\alpha^\square \cup \Pi_\alpha^\square \cup \{\langle \alpha \rangle_{\mathcal{T}}^\square \varphi(\dot{x}) \mid \varphi(x) \in \text{Form}\}$ for $\alpha > 0$;
- $\Sigma_\lambda^\square := \Pi_\lambda^\square := \bigcup_{\alpha < \lambda} \Sigma_\alpha^\square$ for $\lambda \in \text{Lim}$.

Definition

Let Γ be a class of formulas. For ordinals $\alpha, \beta < \Lambda$ and T a c.e. theory we define $\beta\text{-RFN}_T^\Lambda(\Gamma)$ to be the schema $[\beta]_T^\square \varphi \rightarrow \varphi$ for $\varphi \in \Gamma$.

Instead of writing $0\text{-RFN}_T^\Lambda(\Gamma)$ we shall just write $\text{RFN}_T^\Lambda(\Gamma)$.

We can now easily state and prove various equivalences between consistency statements and reflection principles.

Theorem

Let T be a c.e. theory containing ECA_0 .

1. $ECA_0 \vdash \text{RFN}_T^\Delta(\Pi_{\alpha+1}^\square) \equiv \langle \alpha \rangle_T^\square$;
2. For $\beta \leq \alpha$, we have $ECA_0 \vdash \beta\text{-RFN}_T^\Delta(\Pi_{\alpha+1}^\square) \equiv \langle \alpha \rangle_T^\square$;
3. For $\beta > \alpha$ we have that $ECA_0 \vdash \beta\text{-RFN}_T^\Delta(\Pi_{\alpha+1}^\square) \equiv \langle \beta \rangle_T^\square$;
4. For $\beta > \alpha$ we have that
 $ECA_0 \vdash \beta\text{-RFN}_T^\Delta(\Pi_{\alpha+1}^\square) \equiv \langle \max\{\alpha, \beta\} \rangle_T^\square$.

- ▶ Modal theorem in GLP_ω

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$$\langle n+1 \rangle \varphi \equiv_n \{ \langle n \rangle \varphi, \langle n \rangle (\varphi \wedge \langle n \rangle \varphi), \langle n \rangle (\varphi \wedge \langle n \rangle (\varphi \wedge \langle n \rangle \varphi)), \dots \}$$

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- ▶ Here, $\Gamma \equiv_n \Delta$ means $\Gamma \vdash \langle n \rangle \psi \iff \Delta \vdash \langle n \rangle \psi$

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 - ▶ $Q(\top, \varphi) := \top$;
 - ▶ $Q(\langle \xi \rangle A', \varphi) := \langle \xi \rangle (\varphi \wedge Q(A', \varphi))$.
- ▶ **'Theorem'** Let $\zeta < \xi < \Gamma$ and let the set of worms $\langle \zeta \rangle \Theta$ be $<$ -cofinal in $\langle \xi \rangle \top$, then over GLP_Γ we have that

$$\langle \xi \rangle \varphi \equiv_\zeta Q(\langle \zeta \rangle \Theta, \varphi)$$

- ▶ Beklemishev: for each $\alpha < \epsilon_0$, there is a worm A so that

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- ▶ Generalization (Herzog-Reyes, JJJ): for each $\xi, \zeta, \theta < \Gamma$, there is a worm A so that over Turing-Schmerl Calculus we have

$$(EA^+)_{\zeta}^{\xi} \equiv_{\theta} EA^+ + A$$

THANK YOU!