

# Iteration in Residuated Structures

by Stepan Kuznetsov

from Steklov Mathematical Institute (Moscow)

October 20, 2017, The Wormshop

# Residuated Kleene Algebras (Action Algebras)

$$\langle \mathcal{A}; \cdot, \mathbf{1}, \backslash, /, \vee, \wedge, *, \leq \rangle$$

# Residuated Kleene Algebras (Action Algebras)

$$\langle \mathcal{A}; \cdot, \mathbf{1}, \backslash, /, \vee, \wedge, *, \leq \rangle$$

- ▶  $\cdot$  gives a monoid structure,  $\mathbf{1}$  is the unit;

# Residuated Kleene Algebras (Action Algebras)

$$\langle \mathcal{A}; \cdot, \mathbf{1}, \backslash, /, \vee, \wedge, *, \leq \rangle$$

- ▶  $\cdot$  gives a monoid structure,  $\mathbf{1}$  is the unit;
- ▶  $\backslash$  and  $/$  are residuals of  $\cdot$ :

$$a \leq c / b \iff a \cdot b \leq c \iff b \leq a \backslash c$$

# Residuated Kleene Algebras (Action Algebras)

$$\langle \mathcal{A}; \cdot, \mathbf{1}, \backslash, /, \vee, \wedge, *, \leq \rangle$$

- ▶  $\cdot$  gives a monoid structure,  $\mathbf{1}$  is the unit;
- ▶  $\backslash$  and  $/$  are residuals of  $\cdot$ :

$$a \leq c / b \iff a \cdot b \leq c \iff b \leq a \backslash c$$

- ▶  $\vee$  and  $\wedge$  are for the lattice structure:  
 $a \vee b = \sup\{a, b\}$ ,  $a \wedge b = \inf\{a, b\}$ .

# Residuated Kleene Algebras (Action Algebras)

$$\langle \mathcal{A}; \cdot, \mathbf{1}, \backslash, /, \vee, \wedge, *, \leq \rangle$$

- ▶  $\cdot$  gives a monoid structure,  $\mathbf{1}$  is the unit;
- ▶  $\backslash$  and  $/$  are residuals of  $\cdot$ :

$$a \leq c / b \iff a \cdot b \leq c \iff b \leq a \backslash c$$

- ▶  $\vee$  and  $\wedge$  are for the lattice structure:  
 $a \vee b = \sup\{a, b\}$ ,  $a \wedge b = \inf\{a, b\}$ .
- ▶  $*$ , the general case:  $\mathbf{1} \vee a \vee (a^* \cdot a^*) \leq a^*$ , and if  $\mathbf{1} \vee a \vee (b \cdot b) \leq b$ , then  $a^* \leq b$ .

# Residuated Kleene Algebras (Action Algebras)

$$\langle \mathcal{A}; \cdot, \mathbf{1}, \backslash, /, \vee, \wedge, *, \leq \rangle$$

- ▶  $\cdot$  gives a monoid structure,  $\mathbf{1}$  is the unit;
- ▶  $\backslash$  and  $/$  are residuals of  $\cdot$ :

$$a \leq c / b \iff a \cdot b \leq c \iff b \leq a \backslash c$$

- ▶  $\vee$  and  $\wedge$  are for the lattice structure:  
 $a \vee b = \sup\{a, b\}$ ,  $a \wedge b = \inf\{a, b\}$ .
- ▶  $*$ , the general case:  $\mathbf{1} \vee a \vee (a^* \cdot a^*) \leq a^*$ , and if  $\mathbf{1} \vee a \vee (b \cdot b) \leq b$ , then  $a^* \leq b$ .
- ▶  $*$ , the *\*-continuous* case:  $p \cdot q^* \cdot r = \sup\{p \cdot q^n \cdot r \mid n \geq 0\}$

# Residuated Kleene Algebras (Action Algebras)

$$\langle \mathcal{A}; \cdot, \mathbf{1}, \backslash, /, \vee, \wedge, *, \leq \rangle$$

- ▶  $\cdot$  gives a monoid structure,  $\mathbf{1}$  is the unit;
- ▶  $\backslash$  and  $/$  are residuals of  $\cdot$ :

$$a \leq c / b \iff a \cdot b \leq c \iff b \leq a \backslash c$$

- ▶  $\vee$  and  $\wedge$  are for the lattice structure:  
 $a \vee b = \sup\{a, b\}$ ,  $a \wedge b = \inf\{a, b\}$ .
- ▶  $*$ , the general case:  $\mathbf{1} \vee a \vee (a^* \cdot a^*) \leq a^*$ , and if  $\mathbf{1} \vee a \vee (b \cdot b) \leq b$ , then  $a^* \leq b$ .
- ▶  $*$ , the *\*-continuous* case:  $p \cdot q^* \cdot r = \sup\{p \cdot q^n \cdot r \mid n \geq 0\}$

References: Pratt 1990, Kozen 1994.



# Residuated Kleene Algebras (Action Algebras)

$$\langle \mathcal{A}; \cdot, \mathbf{1}, \backslash, /, \vee, \wedge, *, \leq \rangle$$

- ▶  $\cdot$  gives a monoid structure,  $\mathbf{1}$  is the unit;
- ▶  $\backslash$  and  $/$  are residuals of  $\cdot$ :

$$a \leq c / b \iff a \cdot b \leq c \iff b \leq a \backslash c$$

- ▶  $\vee$  and  $\wedge$  are for the lattice structure:  
 $a \vee b = \sup\{a, b\}$ ,  $a \wedge b = \inf\{a, b\}$ .
- ▶  $*$ , the general case:  $\mathbf{1} \vee a \vee (a^* \cdot a^*) \leq a^*$ , and if  $\mathbf{1} \vee a \vee (b \cdot b) \leq b$ , then  $a^* \leq b$ .
- ▶  $*$ , the *\*-continuous* case:  $p \cdot q^* \cdot r = \sup\{p \cdot q^n \cdot r \mid n \geq 0\}$

References: Pratt 1990, Kozen 1994.

**Standard example:** the algebra of languages over an alphabet, possibly with the empty word.

## In This Talk...

... we consider the *positive* version of Kleene iteration ( $^+$  instead of  $^*$ ):

## In This Talk...

... we consider the *positive* version of Kleene iteration ( $^+$  instead of  $^*$ ):

- ▶ a semigroup instead of a monoid;

## In This Talk...

... we consider the *positive* version of Kleene iteration ( $^+$  instead of  $^*$ ):

- ▶ a semigroup instead of a monoid;
- ▶  $a \vee (a^+ \cdot a^+) \leq a^+$ , and if  $a \vee (b \cdot b) \leq b$ , then  $a^+ \leq b$  (for the general case);

# In This Talk...

... we consider the *positive* version of Kleene iteration ( $^+$  instead of  $*$ ):

- ▶ a semigroup instead of a monoid;
- ▶  $a \vee (a^+ \cdot a^+) \leq a^+$ , and if  $a \vee (b \cdot b) \leq b$ , then  $a^+ \leq b$  (for the general case);
- ▶  $p \cdot q^+ \cdot r = \sup\{p \cdot q^n \cdot r \mid n \geq 1\}$  (for the  $*$ -continuous case).

## In This Talk...

... we consider the *positive* version of Kleene iteration ( $^+$  instead of  $*$ ):

- ▶ a semigroup instead of a monoid;
- ▶  $a \vee (a^+ \cdot a^+) \leq a^+$ , and if  $a \vee (b \cdot b) \leq b$ , then  $a^+ \leq b$  (for the general case);
- ▶  $p \cdot q^+ \cdot r = \sup\{p \cdot q^n \cdot r \mid n \geq 1\}$  (for the  $*$ -continuous case).

**Standard example:** the algebra of languages without the empty word.

# Multiplicative-Only Fragment (the Lambek Calculus with Iteration, $\mathbf{L}_\omega^+$ )

(for the  $*$ -continuous case;

cf.  $\mathbf{ACT}_\omega$  by Buszkowski and Palka 2005–08)

$$A \rightarrow A$$

$$\frac{A \Pi \rightarrow B}{\Pi \rightarrow A \setminus B}, \text{ where } \Pi \text{ is not empty}$$

$$\frac{\Pi \rightarrow A \quad \Gamma B \Delta \rightarrow C}{\Gamma \Pi (A \setminus B) \Delta \rightarrow C}$$

$$\frac{\Pi A \rightarrow B}{\Pi \rightarrow B / A}, \text{ where } \Pi \text{ is not empty}$$

$$\frac{\Pi \rightarrow A \quad \Gamma B \Delta \rightarrow C}{\Gamma (B / A) \Pi \Delta \rightarrow C}$$

$$\frac{\Gamma \rightarrow A \quad \Delta \rightarrow B}{\Gamma, \Delta \rightarrow A \cdot B}$$

$$\frac{\Gamma, A, B, \Delta \rightarrow C}{\Gamma, A \cdot B, \Delta \rightarrow C}$$

$$\frac{\Gamma_1 \rightarrow A \quad \dots \quad \Gamma_n \rightarrow A}{\Gamma_1, \dots, \Gamma_n \rightarrow A^+} \quad (n \geq 1)$$

$$\frac{\Gamma, A^n, \Delta \rightarrow C \text{ for all } n \geq 1}{\Gamma, A^+, \Delta \rightarrow C}$$

$$\frac{\Pi \rightarrow A \quad \Gamma A \Delta \rightarrow C}{\Gamma \Pi \Delta \rightarrow C} \text{ (cut)}$$

# Complexity Result

## Theorem

$\mathbf{L}_\omega^+$  is  $\Pi_1^0$ -complete.



# Complexity Result

## Theorem

$\mathbf{L}_\omega^+$  is  $\Pi_1^0$ -complete.

**Proof idea:** following Buszkowski & Palka for  $\mathbf{ACT}_\omega$ , encode the totality problem for context-free grammars. The key trick that allows avoiding  $\vee$  and  $\wedge$  is the usage of *Lambek grammars with unique type assignment* [Safiullin 2007].

# Complexity Result

## Theorem

$\mathbf{L}_\omega^+$  is  $\Pi_1^0$ -complete.

**Proof idea:** following Buszkowski & Palka for  $\mathbf{ACT}_\omega$ , encode the totality problem for context-free grammars. The key trick that allows avoiding  $\vee$  and  $\wedge$  is the usage of *Lambek grammars with unique type assignment* [Safiullin 2007].

CFG  $\rightarrow$  Lambek categorial grammar.

$a_1 \triangleright A_1, a_2 \triangleright A_2, C$  is the goal category. (Alphabet  $\{a_1, a_2\}$ )

$a_1 \dots a_n \in \mathcal{L} \iff A_1 \dots A_n \rightarrow C$  is derivable.

Checking derivability of  $(A^+ \cdot B^+)^+ \rightarrow C$  is roughly equivalent to checking totality for the CFG.

# Complexity Result

## Theorem

$\mathbf{L}_\omega^+$  is  $\Pi_1^0$ -complete.

**Proof idea:** following Buszkowski & Palka for  $\mathbf{ACT}_\omega$ , encode the totality problem for context-free grammars. The key trick that allows avoiding  $\vee$  and  $\wedge$  is the usage of *Lambek grammars with unique type assignment* [Safiullin 2007].

CFG  $\rightarrow$  Lambek categorial grammar.

$a_1 \triangleright A_1, a_2 \triangleright A_2, C$  is the goal category. (Alphabet  $\{a_1, a_2\}$ )

$a_1 \dots a_n \in \mathcal{L} \iff A_1 \dots A_n \rightarrow C$  is derivable.

Checking derivability of  $(A^+ \cdot B^+)^+ \rightarrow C$  is roughly equivalent to checking totality for the CFG.

**Open question:** Safiullin's result is not known for the case with empty word. Therefore, we cannot yet replace  $+$  with  $*$ .

## On The Other Side...

Pratt's axiomatisation for general (non necessarily \*-continuous) action algebras (a variant with positive iteration):

$$A \rightarrow A \quad (A \cdot B) \cdot C \rightarrow A \cdot (B \cdot C) \quad A \cdot (B \cdot C) \rightarrow (A \cdot B) \cdot C$$

$$\frac{A \rightarrow C / B}{A \cdot B \rightarrow C} \quad \frac{A \cdot B \rightarrow C}{A \rightarrow C / B} \quad \frac{B \rightarrow A \setminus C}{A \cdot B \rightarrow C} \quad \frac{A \cdot B \rightarrow C}{B \rightarrow A \setminus C}$$

$$\frac{A \rightarrow B \quad B \rightarrow C}{A \rightarrow C} \quad \frac{A \rightarrow B_i}{A \rightarrow B_1 \vee B_2} \quad \frac{A_1 \rightarrow B \quad A_2 \rightarrow B}{A_1 \vee A_2 \rightarrow B}$$

$$\frac{A_i \rightarrow B}{A_1 \wedge A_2 \rightarrow B} \quad \frac{A \rightarrow B_1 \quad A \rightarrow B_2}{A \rightarrow B_1 \wedge B_2}$$

$$A \vee (A^+ \cdot A^+) \rightarrow A^+ \quad \frac{A \vee (B \cdot B) \rightarrow B}{A^+ \rightarrow B}$$

## On The Other Side...

Pratt's axiomatisation for general (non necessarily  $*$ -continuous) action algebras (a variant with positive iteration):

$$A \rightarrow A \quad (A \cdot B) \cdot C \rightarrow A \cdot (B \cdot C) \quad A \cdot (B \cdot C) \rightarrow (A \cdot B) \cdot C$$

$$\frac{A \rightarrow C / B}{A \cdot B \rightarrow C} \quad \frac{A \cdot B \rightarrow C}{A \rightarrow C / B} \quad \frac{B \rightarrow A \setminus C}{A \cdot B \rightarrow C} \quad \frac{A \cdot B \rightarrow C}{B \rightarrow A \setminus C}$$

$$\frac{A \rightarrow B \quad B \rightarrow C}{A \rightarrow C} \quad \frac{A \rightarrow B_i}{A \rightarrow B_1 \vee B_2} \quad \frac{A_1 \rightarrow B \quad A_2 \rightarrow B}{A_1 \vee A_2 \rightarrow B}$$

$$\frac{A_i \rightarrow B}{A_1 \wedge A_2 \rightarrow B} \quad \frac{A \rightarrow B_1 \quad A \rightarrow B_2}{A \rightarrow B_1 \wedge B_2}$$

$$A \vee (A^+ \cdot A^+) \rightarrow A^+ \quad \frac{A \vee (B \cdot B) \rightarrow B}{A^+ \rightarrow B}$$

NB: Pratt 1990 doesn't cite Lambek 1958 (but cites Girard 1987).

## Induction vs. \*-continuity

**ACT** <sub>$\omega$</sub>  is  $\Pi_1^0$ -complete (Buszkowski & Palka);

**ACT**<sub>Pratt</sub> is in  $\Sigma_1^0$  (r.e.)

## Induction vs. \*-continuity

**ACT** <sub>$\omega$</sub>  is  $\Pi_1^0$ -complete (Buszkowski & Palka);

**ACT**<sub>Pratt</sub> is in  $\Sigma_1^0$  (r.e.)

Therefore:

## Induction vs. \*-continuity

**ACT** <sub>$\omega$</sub>  is  $\Pi_1^0$ -complete (Buszkowski & Palka);

**ACT**<sub>Pratt</sub> is in  $\Sigma_1^0$  (r.e.)

Therefore:

- ▶ there exists an action algebra that is not \*-continuous;



## Induction vs. \*-continuity

**ACT** <sub>$\omega$</sub>  is  $\Pi_1^0$ -complete (Buszkowski & Palka);

**ACT**<sub>Pratt</sub> is in  $\Sigma_1^0$  (r.e.)

Therefore:

- ▶ there exists an action algebra that is not \*-continuous;
- ▶ the equational theories of all action algebras and \*-continuous action algebras differ, even in the fragment without  $\vee$  and  $\wedge$  (for positive iteration).

## Induction vs. \*-continuity

**ACT** <sub>$\omega$</sub>  is  $\Pi_1^0$ -complete (Buszkowski & Palka);

**ACT**<sub>Pratt</sub> is in  $\Sigma_1^0$  (r.e.)

Therefore:

- ▶ there exists an action algebra that is not \*-continuous;
- ▶ the equational theories of all action algebras and \*-continuous action algebras differ, even in the fragment without  $\vee$  and  $\wedge$  (for positive iteration).

Note that, as shown by Kozen, for the case without  $\setminus$  and  $/$  (but with  $\vee$ ) the equational theories coincide.

## Induction vs. \*-continuity

**ACT** <sub>$\omega$</sub>  is  $\Pi_1^0$ -complete (Buszkowski & Palka);

**ACT**<sub>Pratt</sub> is in  $\Sigma_1^0$  (r.e.)

Therefore:

- ▶ there exists an action algebra that is not \*-continuous;
- ▶ the equational theories of all action algebras and \*-continuous action algebras differ, even in the fragment without  $\vee$  and  $\wedge$  (for positive iteration).

Note that, as shown by Kozen, for the case without  $\setminus$  and  $/$  (but with  $\vee$ ) the equational theories coincide.

**Open question 1:** construct a concrete example of a formula valid in all \*-continuous action algebras, but not in all action algebras.

## Induction vs. \*-continuity

**ACT** <sub>$\omega$</sub>  is  $\Pi_1^0$ -complete (Buszkowski & Palka);

**ACT**<sub>Pratt</sub> is in  $\Sigma_1^0$  (r.e.)

Therefore:

- ▶ there exists an action algebra that is not \*-continuous;
- ▶ the equational theories of all action algebras and \*-continuous action algebras differ, even in the fragment without  $\vee$  and  $\wedge$  (for positive iteration).

Note that, as shown by Kozen, for the case without  $\setminus$  and  $/$  (but with  $\vee$ ) the equational theories coincide.

**Open question 1:** construct a concrete example of a formula valid in all \*-continuous action algebras, but not in all action algebras.

**Open question 2:** lower complexity bounds for **ACT**<sub>Pratt</sub> without  $\vee$  and  $\wedge$ .

## System with Non-well-founded Derivations ( $\mathbf{L}_{\infty}^+$ )

$$\frac{\Pi \rightarrow A}{\Pi \rightarrow A^+}$$

$$\frac{\Pi_1 \rightarrow A \quad \Pi_2 \rightarrow A^+}{\Pi_1, \Pi_2 \rightarrow A^+}$$

$$\frac{\Gamma, A, \Delta \rightarrow C \quad \Gamma, A, A^+, \Delta \rightarrow C}{\Gamma, A^+, \Delta \rightarrow C}$$

## System with Non-well-founded Derivations ( $\mathbf{L}_{\infty}^+$ )

$$\frac{\Pi \rightarrow A}{\Pi \rightarrow A^+} \quad \frac{\Pi_1 \rightarrow A \quad \Pi_2 \rightarrow A^+}{\Pi_1, \Pi_2 \rightarrow A^+} \quad \frac{\Gamma, A, \Delta \rightarrow C \quad \Gamma, A, A^+, \Delta \rightarrow C}{\Gamma, A^+, \Delta \rightarrow C}$$

- ▶ We allow infinite branches in the proof tree.

## System with Non-well-founded Derivations ( $\mathbf{L}_{\infty}^+$ )

$$\frac{\Pi \rightarrow A}{\Pi \rightarrow A^+} \quad \frac{\Pi_1 \rightarrow A \quad \Pi_2 \rightarrow A^+}{\Pi_1, \Pi_2 \rightarrow A^+} \quad \frac{\Gamma, A, \Delta \rightarrow C \quad \Gamma, A, A^+, \Delta \rightarrow C}{\Gamma, A^+, \Delta \rightarrow C}$$

- ▶ We allow infinite branches in the proof tree.
- ▶ Correctness condition: for every infinite branch there exists an active occurrence  $A^+$  that undergoes the left rule infinitely many times.

## System with Non-well-founded Derivations ( $\mathbf{L}_\infty^+$ )

$$\frac{\Pi \rightarrow A}{\Pi \rightarrow A^+} \quad \frac{\Pi_1 \rightarrow A \quad \Pi_2 \rightarrow A^+}{\Pi_1, \Pi_2 \rightarrow A^+} \quad \frac{\Gamma, A, \Delta \rightarrow C \quad \Gamma, A, A^+, \Delta \rightarrow C}{\Gamma, A^+, \Delta \rightarrow C}$$

- ▶ We allow infinite branches in the proof tree.
- ▶ Correctness condition: for every infinite branch there exists an active occurrence  $A^+$  that undergoes the left rule infinitely many times. Incorrect derivation example:

$$\frac{p \rightarrow p \quad \frac{\frac{p \rightarrow p \quad p^+ \rightarrow p^+}{p, p^+ \rightarrow p^+} \quad \frac{\dots}{p^+ \rightarrow p}}{p, p^+ \rightarrow p} \text{ (cut)}}{p^+ \rightarrow p}$$



## System with Non-well-founded Derivations ( $\mathbf{L}_\infty^+$ )

$$\frac{\Pi \rightarrow A}{\Pi \rightarrow A^+} \quad \frac{\Pi_1 \rightarrow A \quad \Pi_2 \rightarrow A^+}{\Pi_1, \Pi_2 \rightarrow A^+} \quad \frac{\Gamma, A, \Delta \rightarrow C \quad \Gamma, A, A^+, \Delta \rightarrow C}{\Gamma, A^+, \Delta \rightarrow C}$$

- ▶ We allow infinite branches in the proof tree.
- ▶ Correctness condition: for every infinite branch there exists an active occurrence  $A^+$  that undergoes the left rule infinitely many times. Incorrect derivation example:

$$\frac{p \rightarrow p \quad \frac{\frac{p \rightarrow p \quad p^+ \rightarrow p^+}{p, p^+ \rightarrow p^+} \quad \frac{\dots}{p^+ \rightarrow p}}{p, p^+ \rightarrow p} \text{ (cut)}}{p \rightarrow p \quad p, p^+ \rightarrow p}{p^+ \rightarrow p}$$

- ▶  $\mathbf{L}_\infty^+$  is equivalent to  $\mathbf{L}_\omega^+$ .

## System with Non-well-founded Derivations ( $\mathbf{L}_{\infty}^+$ )

$$\frac{\Pi \rightarrow A}{\Pi \rightarrow A^+} \quad \frac{\Pi_1 \rightarrow A \quad \Pi_2 \rightarrow A^+}{\Pi_1, \Pi_2 \rightarrow A^+} \quad \frac{\Gamma, A, \Delta \rightarrow C \quad \Gamma, A, A^+, \Delta \rightarrow C}{\Gamma, A^+, \Delta \rightarrow C}$$

- ▶ We allow infinite branches in the proof tree.
- ▶ Correctness condition: for every infinite branch there exists an active occurrence  $A^+$  that undergoes the left rule infinitely many times. Incorrect derivation example:

$$\frac{p \rightarrow p \quad \frac{\frac{p \rightarrow p \quad p^+ \rightarrow p^+}{p, p^+ \rightarrow p^+} \quad \frac{\dots}{p^+ \rightarrow p}}{p, p^+ \rightarrow p} \text{ (cut)}}{p^+ \rightarrow p}$$

- ▶  $\mathbf{L}_{\infty}^+$  is equivalent to  $\mathbf{L}_{\omega}^+$ .
- ▶ **Work in progress:** cut elimination in  $\mathbf{L}_{\infty}^+$ , cf. Savateev's talk today.

## System with Circular Proofs, $\mathbf{L}_{\text{circ}}^+$

$$\frac{\Pi \rightarrow A}{\Pi \rightarrow A^+}$$

$$\frac{\Pi_1 \rightarrow A \quad \Pi_2 \rightarrow A^+}{\Pi_1, \Pi_2 \rightarrow A^+}$$

$$\frac{\Gamma, A, \Delta \rightarrow C \quad \Gamma, A, A^+, \Delta \rightarrow C}{\Gamma, A^+, \Delta \rightarrow C}$$

We allow to use the conclusion of the negative rule to be used as a premise in its derivation tree (*backlink*).

# System with Circular Proofs, $L_{\text{circ}}^+$

$$\frac{\Pi \rightarrow A}{\Pi \rightarrow A^+} \quad \frac{\Pi_1 \rightarrow A \quad \Pi_2 \rightarrow A^+}{\Pi_1, \Pi_2 \rightarrow A^+} \quad \frac{\Gamma, A, \Delta \rightarrow C \quad \Gamma, A, A^+, \Delta \rightarrow C}{\Gamma, A^+, \Delta \rightarrow C}$$

We allow to use the conclusion of the negative rule to be used as a premise in its derivation tree (*backlink*).

Example:

$$\frac{\frac{\frac{p \rightarrow p \quad p, (p \setminus p)^+ \rightarrow p}{p, p \setminus p, (p \setminus p)^+ \rightarrow p}}{p, p \setminus p \rightarrow p}}{p, (p \setminus p)^+ \rightarrow p}}{(p \setminus p)^+ \rightarrow p \setminus p}$$

# System with Circular Proofs, $L_{\text{circ}}^+$

$$\frac{\Pi \rightarrow A}{\Pi \rightarrow A^+} \quad \frac{\Pi_1 \rightarrow A \quad \Pi_2 \rightarrow A^+}{\Pi_1, \Pi_2 \rightarrow A^+} \quad \frac{\Gamma, A, \Delta \rightarrow C \quad \Gamma, A, A^+, \Delta \rightarrow C}{\Gamma, A^+, \Delta \rightarrow C}$$

We allow to use the conclusion of the negative rule to be used as a premise in its derivation tree (*backlink*).

Example:

$$\frac{\frac{\frac{p/p \rightarrow p/p}{p/p, p/p \rightarrow p/p} \quad \frac{\frac{p/p, p/p \rightarrow p/p \quad p/p, (p/p)^+ \rightarrow p/p}{p/p, p/p, (p/p)^+ \rightarrow p/p}}{p/p, (p/p)^+ \rightarrow p/p}}{(p/p)^+ \rightarrow p/p}$$

## System with Circular Proofs, $L_{\text{circ}}^+$

$$\frac{\Pi \rightarrow A}{\Pi \rightarrow A^+} \quad \frac{\Pi_1 \rightarrow A \quad \Pi_2 \rightarrow A^+}{\Pi_1, \Pi_2 \rightarrow A^+} \quad \frac{\Gamma, A, \Delta \rightarrow C \quad \Gamma, A, A^+, \Delta \rightarrow C}{\Gamma, A^+, \Delta \rightarrow C}$$

We allow to use the conclusion of the negative rule to be used as a premise in its derivation tree (*backlink*).

Correctness condition: in each backlink, the active occurrence  $A^+$  in the premise should be tracked down to **the same** active occurrence in the goal.

## System with Circular Proofs, $L_{\text{circ}}^+$

$$\frac{\Pi \rightarrow A}{\Pi \rightarrow A^+} \quad \frac{\Pi_1 \rightarrow A \quad \Pi_2 \rightarrow A^+}{\Pi_1, \Pi_2 \rightarrow A^+} \quad \frac{\Gamma, A, \Delta \rightarrow C \quad \Gamma, A, A^+, \Delta \rightarrow C}{\Gamma, A^+, \Delta \rightarrow C}$$

We allow to use the conclusion of the negative rule to be used as a premise in its derivation tree (*backlink*).

Correctness condition: in each backlink, the active occurrence  $A^+$  in the premise should be tracked down to **the same** active occurrence in the goal.

The circular system (with cut) is equivalent to Pratt's axiomatisation for general action algebras.

## System with Circular Proofs, $L_{\text{circ}}^+$

$$\frac{\Pi \rightarrow A}{\Pi \rightarrow A^+} \quad \frac{\Pi_1 \rightarrow A \quad \Pi_2 \rightarrow A^+}{\Pi_1, \Pi_2 \rightarrow A^+} \quad \frac{\Gamma, A, \Delta \rightarrow C \quad \Gamma, A, A^+, \Delta \rightarrow C}{\Gamma, A^+, \Delta \rightarrow C}$$

We allow to use the conclusion of the negative rule to be used as a premise in its derivation tree (*backlink*).

Correctness condition: in each backlink, the active occurrence  $A^+$  in the premise should be tracked down to **the same** active occurrence in the goal.

The circular system (with cut) is equivalent to Pratt's axiomatisation for general action algebras.

**Open question:** a cut-free system? (cf. Jipsen 2004 for a different approach).



# Language Interpretation

$$w(A) \subseteq \Sigma^+$$

$$w(A \setminus B) = w(A) \setminus w(B) = \{u \in \Sigma^+ \mid (\forall v \in w(A)) vu \in w(B)\}$$

$$w(B / A) = w(B) / w(A) = \{u \in \Sigma^+ \mid (\forall v \in w(A)) uv \in w(B)\}$$

$$w(A \cdot B) = w(A) \cdot w(B) = \{uv \mid u \in w(A), v \in w(B)\}$$

$$w(A^+) = \{u_1 \dots u_n \mid u_i \in w(A), n \geq 1\}$$

# Language Interpretation

$$w(A) \subseteq \Sigma^+$$

$$w(A \setminus B) = w(A) \setminus w(B) = \{u \in \Sigma^+ \mid (\forall v \in w(A)) vu \in w(B)\}$$

$$w(B / A) = w(B) / w(A) = \{u \in \Sigma^+ \mid (\forall v \in w(A)) uv \in w(B)\}$$

$$w(A \cdot B) = w(A) \cdot w(B) = \{uv \mid u \in w(A), v \in w(B)\}$$

$$w(A^+) = \{u_1 \dots u_n \mid u_i \in w(A), n \geq 1\}$$

## Theorem (M. Pentus 1995)

$L \vdash A \rightarrow B \iff w(A) \subseteq w(B)$  for all  $w$ .

# Language Interpretation

$$w(A) \subseteq \Sigma^+$$

$$w(A \setminus B) = w(A) \setminus w(B) = \{u \in \Sigma^+ \mid (\forall v \in w(A)) vu \in w(B)\}$$

$$w(B / A) = w(B) / w(A) = \{u \in \Sigma^+ \mid (\forall v \in w(A)) uv \in w(B)\}$$

$$w(A \cdot B) = w(A) \cdot w(B) = \{uv \mid u \in w(A), v \in w(B)\}$$

$$w(A^+) = \{u_1 \dots u_n \mid u_i \in w(A), n \geq 1\}$$

## Theorem (M. Pentus 1995)

$L \vdash A \rightarrow B \iff w(A) \subseteq w(B)$  for all  $w$ .

**Open question:** completeness of  $\mathbf{L}_\omega^+$ .

# Language Interpretation

$$w(A) \subseteq \Sigma^+$$

$$w(A \setminus B) = w(A) \setminus w(B) = \{u \in \Sigma^+ \mid (\forall v \in w(A)) vu \in w(B)\}$$

$$w(B / A) = w(B) / w(A) = \{u \in \Sigma^+ \mid (\forall v \in w(A)) uv \in w(B)\}$$

$$w(A \cdot B) = w(A) \cdot w(B) = \{uv \mid u \in w(A), v \in w(B)\}$$

$$w(A^+) = \{u_1 \dots u_n \mid u_i \in w(A), n \geq 1\}$$

## Theorem (M. Pentus 1995)

$\mathbb{L} \vdash A \rightarrow B \iff w(A) \subseteq w(B)$  for all  $w$ .

**Open question:** completeness of  $\mathbf{L}_\omega^+$ .

A partial result [Ryzhkova 2013]: completeness for the fragment without  $\cdot$ , where  $^+$  appears only as  $A^+ \setminus B$  or  $B / A^+$ .

## Even More Complexity: Iteration and the Exponential

The exponential,  $!$ , governed by the following rules:

$$\frac{\Gamma, A, \Delta \rightarrow C}{\Gamma, !A, \Delta \rightarrow C} \quad \frac{!A_1, \dots, !A_n \rightarrow B}{!A_1, \dots, !A_n \rightarrow !B} \quad \frac{\Gamma, \Delta \rightarrow C}{\Gamma, !A, \Delta \rightarrow C}$$
$$\frac{\Gamma, \Phi, !A, \Delta \rightarrow C}{\Gamma, !A, \Phi, \Delta \rightarrow C} \quad \frac{\Gamma, !A, \Phi, \Delta \rightarrow C}{\Gamma, \Phi, !A, \Delta \rightarrow C} \quad \frac{\Gamma, !A, !A, \Delta \rightarrow C}{\Gamma, !A, \Delta \rightarrow C}$$

allows encoding *derivation from a finite theory* as a derivation of one formula:

$$A_1 \rightarrow B_1, \dots, A_k \rightarrow B_k \vdash \Gamma \rightarrow C \iff !(A_1 \setminus B_1), \dots, !(A_k \setminus B_k), \Gamma \rightarrow C$$

## Even More Complexity: Iteration and the Exponential

The exponential,  $!$ , governed by the following rules:

$$\frac{\Gamma, A, \Delta \rightarrow C}{\Gamma, !A, \Delta \rightarrow C} \quad \frac{!A_1, \dots, !A_n \rightarrow B}{!A_1, \dots, !A_n \rightarrow !B} \quad \frac{\Gamma, \Delta \rightarrow C}{\Gamma, !A, \Delta \rightarrow C}$$
$$\frac{\Gamma, \Phi, !A, \Delta \rightarrow C}{\Gamma, !A, \Phi, \Delta \rightarrow C} \quad \frac{\Gamma, !A, \Phi, \Delta \rightarrow C}{\Gamma, \Phi, !A, \Delta \rightarrow C} \quad \frac{\Gamma, !A, !A, \Delta \rightarrow C}{\Gamma, !A, \Delta \rightarrow C}$$

allows encoding *derivation from a finite theory* as a derivation of one formula:

$$A_1 \rightarrow B_1, \dots, A_k \rightarrow B_k \vdash \Gamma \rightarrow C \iff !(A_1 \setminus B_1), \dots, !(A_k \setminus B_k), \Gamma \rightarrow C$$

Therefore,

- ▶  $\mathbf{L}$  with  $!$  is undecidable ( $\Sigma_1^0$ -complete): encoding derivations in semi-Thue systems (actually a subset of rules for  $!$  is sufficient, see Scedrov's talk today);

## Even More Complexity: Iteration and the Exponential

The exponential,  $!$ , governed by the following rules:

$$\frac{\Gamma, A, \Delta \rightarrow C}{\Gamma, !A, \Delta \rightarrow C} \quad \frac{!A_1, \dots, !A_n \rightarrow B}{!A_1, \dots, !A_n \rightarrow !B} \quad \frac{\Gamma, \Delta \rightarrow C}{\Gamma, !A, \Delta \rightarrow C}$$
$$\frac{\Gamma, \Phi, !A, \Delta \rightarrow C}{\Gamma, !A, \Phi, \Delta \rightarrow C} \quad \frac{\Gamma, !A, \Phi, \Delta \rightarrow C}{\Gamma, \Phi, !A, \Delta \rightarrow C} \quad \frac{\Gamma, !A, !A, \Delta \rightarrow C}{\Gamma, !A, \Delta \rightarrow C}$$

allows encoding *derivation from a finite theory* as a derivation of one formula:

$$A_1 \rightarrow B_1, \dots, A_k \rightarrow B_k \vdash \Gamma \rightarrow C \iff !(A_1 \setminus B_1), \dots, !(A_k \setminus B_k), \Gamma \rightarrow C$$

Therefore,

- ▶  $\mathbf{L}$  with  $!$  is undecidable ( $\Sigma_1^0$ -complete): encoding derivations in semi-Thue systems (actually a subset of rules for  $!$  is sufficient, see Scedrov's talk today);
- ▶  $\mathbf{L}$  with  $!$  and  $*$  is  $\Pi_1^1$ -hard: encoding Kozen 2002 (deriving Horn clauses in  $*$ -continuous Kleene algebra is  $\Pi_1^1$ -complete).

**Open question:**  $\Pi_1^1$  upper bound.

Thanks<sup>+</sup>